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PTO/SB/21 (08-00)

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<h2 style="margin: 0;">TRANSMITTAL FORM</h2> <p style="margin: 5px 0;">(to be used for all correspondence after initial filing)</p>		Application Number	09/577,835
		Filing Date	May 25, 2000
		First Named Inventor	Pai-Hung Pan
		Group Art Unit	2811
		Examiner Name	C. Nguyen
Total Number of Pages in This Submission		Attorney Docket Number	M4065.0321/P321-A

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ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below)
<div style="border: 1px solid black; width: 150px; height: 20px; float: left; margin-bottom: 5px;">Remarks</div> <div style="clear: both;"></div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual Name	DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP Thomas J. D'Amico
Signature	
Date	December 13, 2001

AMENDMENT TRANSMITTAL LETTER

Docket No.
M4065.0321/P321-A

Application No.
09/577,835

Filing Date
May 25, 2000

Examiner
C. Nguyen

Group Art Unit
2811

Applicant(s): Pai-Hung Pan, et al.

Invention: PASSIVATION OF SIDEWALLS OF A WORD LINE STACK

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	6	- 6 =		x	0.00
Independent Claims	1	- 1 =		x	0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					0.00

☒ Large Entity

☐ Small Entity

☒ No additional fee is required for this amendment.

☐ Please charge Deposit Account No. _____ in the amount of \$ _____.
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☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1073
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☒ Credit any overpayment.

☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

Thomas J. D'Amico
Attorney Reg. No.: 28,371

Dated: December 13, 2001

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Docket No.: M4065.0321/P321-A
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Pai-Hung Pan et al.

Application No.: 09/577,835

Filed: May 25, 2000

For: PASSIVATION OF SIDEWALLS OF A
WORD LINE STACK

Group Art Unit: 2811

Examiner: C. Nguyen

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AMENDMENT UNDER 37 CFR 1.116

Box AF
Commissioner for Patents
Washington, DC 20231

Dear Sir:

In response to the Office Action dated September 13, 2001 (Paper No. 6),
finally rejecting claims 25-30 please consider the following amendment:

IN THE CLAIMS

Please rewrite claim 25 as follows:

25. An integrated circuit comprising:

a semiconductor substrate;

a gate dielectric film disposed on a surface of the substrate;